

New Pattern-Avoiding Permutations Counted by the Schröder Numbers

Eric S. Egge

Carleton College

September 23, 2012

Theorem

If $\sigma \in S_3$ and $n \geq 0$ then

$$|S_n(\sigma)| = C_n.$$

Permutations and Catalan Numbers

Theorem

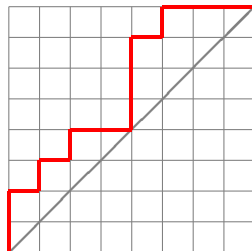
If $\sigma \in S_3$ and $n \geq 0$ then

$$|S_n(\sigma)| = C_n.$$

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

is the number of Catalan paths of length n .

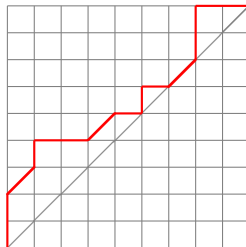
$$C_n = \sum_{j=1}^n C_{j-1} C_{n-j}$$



Schröder Numbers

r_n is the number of paths from $(0, 0)$ to (n, n)

- using North $(0, 1)$, East $(1, 0)$ and Diagonal $(1, 1)$ steps and
- never passing below $y = x$.

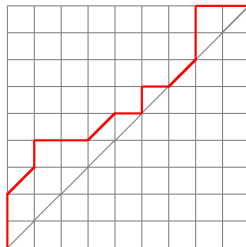


A Schröder Path

Schröder Numbers

r_n is the number of paths from $(0, 0)$ to (n, n)

- using North $(0, 1)$, East $(1, 0)$ and Diagonal $(1, 1)$ steps and
- never passing below $y = x$.



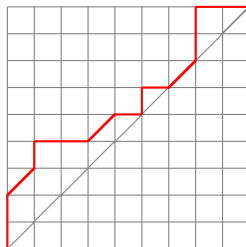
A Schröder Path

n	0	1	2	3	4	5	6	7	8
r_n	1	2	6	22	90	394	1806	8558	41586

Schröder Numbers

r_n is the number of paths from $(0,0)$ to (n,n)

- using North $(0,1)$, East $(1,0)$ and Diagonal $(1,1)$ steps and
- never passing below $y = x$.



A Schröder Path

n	0	1	2	3	4	5	6	7	8
r_n	1	2	6	22	90	394	1806	8558	41586

$$r_n = r_{n-1} + \sum_{j=1}^n r_{j-1} r_{n-j}$$

$$r_n = \sum_{d=0}^n \binom{2n-d}{d} C_{n-d}$$

$$|S_n(1243, 2143)| = r_{n-1}$$

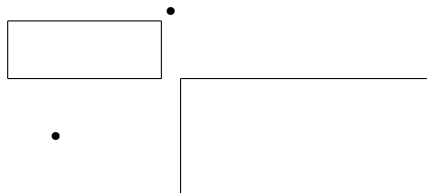
Observation (E and Mansour)

If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .

$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

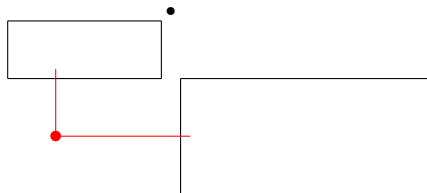
If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .



$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

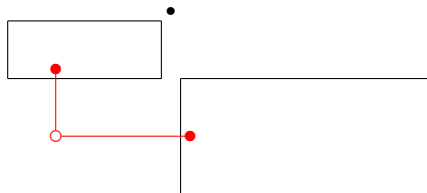
If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .



$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

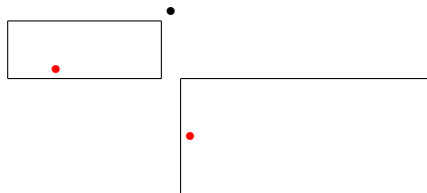
If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .



$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

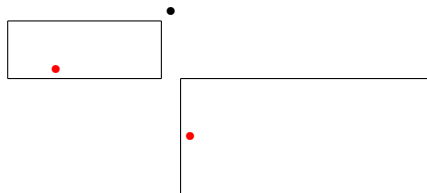
If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .



$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .

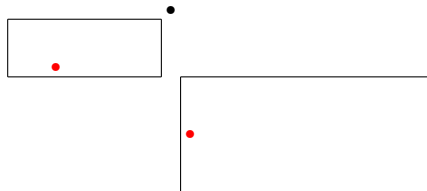


$$41523 \oplus 34251 = 8\textcolor{red}{3}967 \quad 10 \quad 4251$$

$$|S_n(1243, 2143)| = r_{n-1}$$

Observation (E and Mansour)

If $\pi \in S_n(1243, 2143)$ then at most one entry left of n is smaller than some entry to the right of n .



$$|S_n(,)| = |S_{n-1}(,)| + \sum_{j=1}^n |S_{j-1}(,)| |S_{n-j}(,)|$$

Stankova's Proof that $|S_n(2413, 3142)| = r_{n-1}$

Definition

For $\pi \in S_n(2413, 3142)$,

- $L_k > L_{k-1} > \cdots > L_1$ are the maximal sets of consecutive numbers left of n .
- $R_1 > R_2 > \cdots > R_l$ are the maximal sets of consecutive numbers right of n .

Stankova's Proof that $|S_n(2413, 3142)| = r_{n-1}$

Definition

For $\pi \in S_n(2413, 3142)$,

- $L_k > L_{k-1} > \cdots > L_1$ are the maximal sets of consecutive numbers left of n .
- $R_1 > R_2 > \cdots > R_l$ are the maximal sets of consecutive numbers right of n .

Observation

$$L_k > R_1 > L_{k-1} > R_2 > \cdots$$

or

$$R_1 > L_k > R_2 > L_{k-1} > \cdots$$

Stankova's Proof Continues

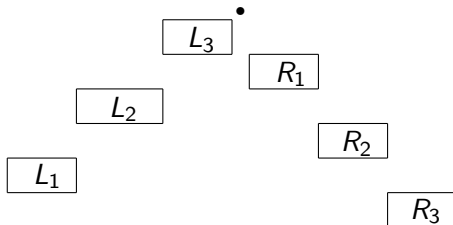
Lemma

- L_j is to the right of L_{j-1} for all j (3142).
- R_j is to the right of R_{j-1} for all j (2413).

Stankova's Proof Continues

Lemma

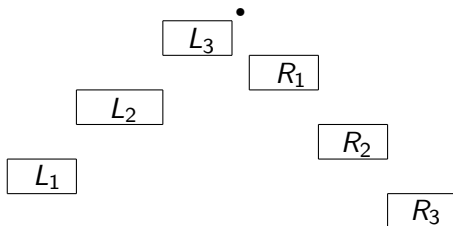
- L_j is to the right of L_{j-1} for all j (3142).
- R_j is to the right of R_{j-1} for all j (2413).



Stankova's Proof Continues

Lemma

- L_j is to the right of L_{j-1} for all j (3142).
- R_j is to the right of R_{j-1} for all j (2413).



Lemma

If R_j and L_j all avoid 2413 and 3142 then so does π .

Conclusion to Stankova's Proof

If $X_n = |S_n(2413, 3142)|$ then

$$X_n = 2 \sum_{\alpha_1 + \dots + \alpha_m = n-1} X_{\alpha_1} \cdots X_{\alpha_m},$$

which can be rewritten

$$X_n = X_{n-1} + \sum_{j=1}^n X_{j-1} X_{n-j}.$$

The Question

Are there other sets R such that $|S_n(R)| = r_{n-1}$?

The Question

Are there other sets R such that $|S_n(R)| = r_{n-1}$?

Theorem (Gire,Kremer,West)

If $R \subseteq S_4$ then $|R| = 2$ and R is trivially equivalent to exactly one of

- $1234, 1243$
- $1324, 2314$
- $1342, 2341$
- $3124, 3214$
- $3142, 3214$
- $3412, 3421$
- $1324, 2134$
- $3124, 2314$
- $2134, 3124$
- $2413, 3142$

Avoiding Two Patterns of Length Four

D. Kremer, W.C. Shiu / Discrete Mathematics 268 (2003) 171–183

175

Table 1 (continued)

Γ	$ S_n(\Gamma) $, $n = 5, 6, 7, 8, 9, 10, 11$	Reference
3124,3214	90,394,1806 ,8558,41586,206098,1037718	Kremer [8]
3142,3214		West [8]
3412,3421		
1324,2134		
3124,2314		
2134,3124		Open
2143,2413	90,395,1823,8741,43193,218704,1129944	
1234,1324	90,396,1837,8864,44074,224352,1163724	

^aVia the package WILF (see <http://www.math.temple.edu/~zeilberger>).

Avoiding Two Patterns of Length Four

D. Kremer, W.C. Shiu / Discrete Mathematics 268 (2003) 171–183

175

Table 1 (continued)

Γ	$ S_n(\Gamma) $, $n = 5, 6, 7, 8, 9, 10, 11$	Reference
3124,3214	90,394,1806 ,8558,41586,206098,1037718	Kremer [8]
3142,3214		West [8]
3412,3421		
1324,2134		
3124,2314		
2134,3124		Open
2143,2413	90,395,1823,8741,43193,218704,1129944	
1234,1324	90,396,1837,8864,44074,224352,1163724	

^aVia the package WILF (see <http://www.math.temple.edu/~zeilberger>).

Question

Does there exist $\sigma \in S_6$ such that $|S_n(2143, 2413, \sigma)| = r_{n-1}$?

The Conjecture

Conjecture

If σ is any of the permutations below, then $|S_n(2143, 2413, \sigma)| = r_{n-1}$.

- 415263
- 624315
- 516324
- 513642
- 465213

The Conjecture

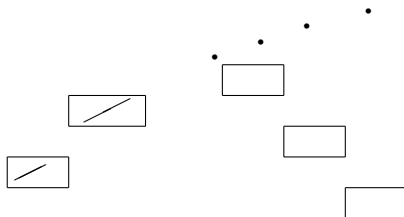
Conjecture

If σ is any of the permutations below, then $|S_n(2143, 2413, \sigma)| = r_{n-1}$.

- 415263
- 624315
- 516324
- 513642
- 465213

Lemma

If $\pi \in S_n(2143, 2413)$ then π has the form below.



The End

Thank You!