

# Catalan Combinatorics of Borel Ideals and Generalizations

Eric S. Egge

Carleton College

September 21, 2014

$GL_n :=$  set of invertible  $n \times n$  matrices over  $\mathbb{C}$

$B(n) :=$  set of upper triangular matrices in  $GL_n$

$GL_n :=$  set of invertible  $n \times n$  matrices over  $\mathbb{C}$

$B(n) :=$  set of upper triangular matrices in  $GL_n$

## Fact

*$GL_n$  has a natural action on  $\mathbb{C}[x_1, \dots, x_n]$ , so  $B(n)$  does, too.*

$GL_n :=$  set of invertible  $n \times n$  matrices over  $\mathbb{C}$

$B(n) :=$  set of upper triangular matrices in  $GL_n$

## Fact

$GL_n$  has a natural action on  $\mathbb{C}[x_1, \dots, x_n]$ , so  $B(n)$  does, too.

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \cdot (x_1^2 + 5x_2) = (x_1 + 3x_2)^2 + 5(2x_1 + 4x_2)$$

$GL_n :=$  set of invertible  $n \times n$  matrices over  $\mathbb{C}$

$B(n) :=$  set of upper triangular matrices in  $GL_n$

## Fact

$GL_n$  has a natural action on  $\mathbb{C}[x_1, \dots, x_n]$ , so  $B(n)$  does, too.

$$\begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \cdot (x_1^2 + 5x_2) = (x_1 + 3x_2)^2 + 5(2x_1 + 4x_2)$$

$$x_1 \mapsto x_1 + 3x_2 \quad x_2 \mapsto 2x_1 + 4x_2$$

$GL_n :=$  set of invertible  $n \times n$  matrices over  $\mathbb{C}$

$B(n) :=$  set of upper triangular matrices in  $GL_n$

## Fact

$GL_n$  has a natural action on  $\mathbb{C}[x_1, \dots, x_n]$ , so  $B(n)$  does, too.

## Definition

A *Borel ideal* is an ideal in  $\mathbb{C}[x_1, \dots, x_n]$  which is closed under the action of  $B(n)$ .

## Theorem (Francisco, Mermin, and Schweig)

*The Borel ideal generated by  $x_1x_2 \cdots x_n$  has a minimal generating set (as an ordinary ideal) of  $C_n$  monomials.*

## Theorem (Francisco, Mermin, and Schweig)

*The Borel ideal generated by  $x_1x_2 \cdots x_n$  has a minimal generating set (as an ordinary ideal) of  $C_n$  monomials.*

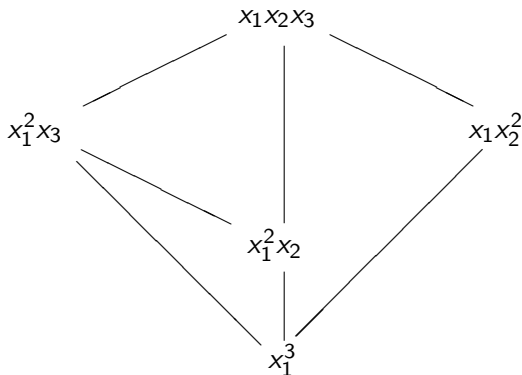
Idea:

$$x_i \mapsto x_j \quad j < i$$

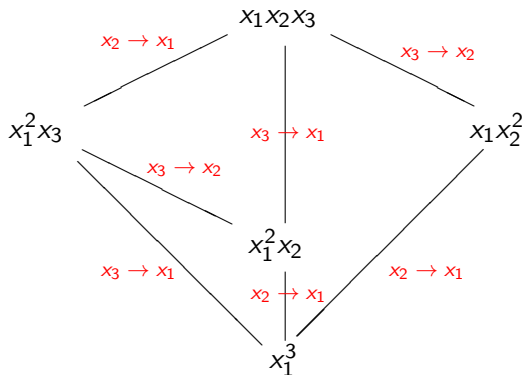
transforms every generating monomial to another generating monomial.



# Catalan Combinatorics of Borel Ideals



# Catalan Combinatorics of Borel Ideals

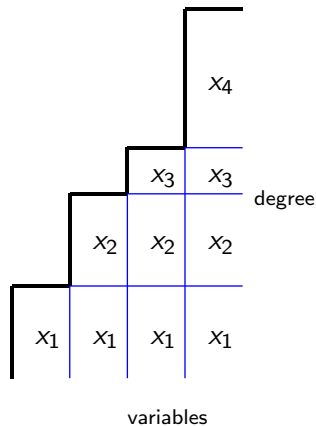


# Bijection with Catalan Paths

Observation: The minimal generators are the monomials of degree  $n$  whose total degree in  $x_1, \dots, x_j$  is at least  $j$  for all  $j$ .

# Bijection with Catalan Paths

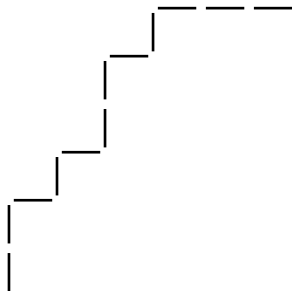
Observation: The minimal generators are the monomials of degree  $n$  whose total degree in  $x_1, \dots, x_j$  is at least  $j$  for all  $j$ .



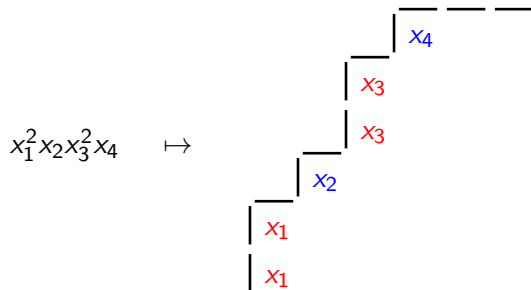
# Bijection with Catalan Path Example

$$x_1^2 x_2 x_3^2 x_4$$

$\mapsto$



# Bijection with Catalan Path Example



# Betti Numbers of Borel Ideals

$C_{n,k} :=$  number of minimal generators of  $\langle x_1 x_2 \cdots x_n \rangle_B$   
with largest variable  $x_k$

$C_{n,k} :=$  number of minimal generators of  $\langle x_1 x_2 \cdots x_n \rangle_B$   
with largest variable  $x_k$

## Observation

$C_{n,k}$  is the number of Catalan paths from  $(0, 0)$  to  $(k - 1, n - 1)$ .



# Betti Numbers of Borel Ideals

$C_{n,k} :=$  number of minimal generators of  $\langle x_1 x_2 \cdots x_n \rangle_B$   
with largest variable  $x_k$

## Observation

$C_{n,k}$  is the number of Catalan paths from  $(0, 0)$  to  $(k - 1, n - 1)$ .

$$C_{n,k} = \frac{n - k + 1}{n} \binom{n + k - 2}{k - 1}$$

## Theorem (Francisco, Mermin, and Schweig)

The  $j$ th Betti number  $b_{n,j}$  of  $\langle x_1 x_2 \cdots x_n \rangle_B$  is the number of ordered pairs  $(m, \alpha)$  such that

- $m$  is a minimal generator and
- $\alpha$  is a square free monomial of degree  $j$  whose largest variable is less than the largest variable of  $m$ .

# Betti Numbers of Borel Ideals

## Theorem (Francisco, Mermin, and Schweig)

The  $j$ th Betti number  $b_{n,j}$  of  $\langle x_1 x_2 \cdots x_n \rangle_B$  is the number of ordered pairs  $(m, \alpha)$  such that

- $m$  is a minimal generator and
- $\alpha$  is a square free monomial of degree  $j$  whose largest variable is less than the largest variable of  $m$ .

## Corollary

$$b_{n,j} = \sum_{k=1}^n C_{n,k} \binom{k-1}{j}$$

# Betti Numbers of Borel Ideals

## Theorem (Francisco, Mermin, and Schweig)

The  $j$ th Betti number  $b_{n,j}$  of  $\langle x_1 x_2 \cdots x_n \rangle_B$  is the number of ordered pairs  $(m, \alpha)$  such that

- $m$  is a minimal generator and
- $\alpha$  is a square free monomial of degree  $j$  whose largest variable is less than the largest variable of  $m$ .

## Corollary

$$b_{n,j} = \frac{1}{n} \binom{2n}{n-j-1} \binom{n+j-1}{j}$$

## Theorem (Francisco, Mermin, and Schweig)

$b_{n,j}$  is the number of binary trees with

- $j$  marked leaves and
- $n$  unmarked vertices,

in which the rightmost leaf is not marked.

# Combinatorics of $b_{n,j}$ : Leaf-Marked Trees

Theorem (Francisco, Mermin, and Schweig)

$b_{n,j}$  is the number of binary trees with

- $j$  marked leaves and
- $n$  unmarked vertices,

in which the rightmost leaf is not marked.



## Theorem (Francisco, Mermin, and Schweig)

$b_{n,j}$  is the number of binary trees with

- $j$  marked vertices with two children and
- $n$  unmarked vertices.

# Combinatorics of $b_{n,j}$ : Branch-Marked Trees

Theorem (Francisco, Mermin, and Schweig)

$b_{n,j}$  is the number of binary trees with

- $j$  marked vertices with two children and
- $n$  unmarked vertices.





## Theorem (Egge, Rubin)

$b_{n,j}$  is the number of Catalan paths with

- $j$  marked North steps, none touching  $y = x$ ,  
and
- $n - j$  unmarked North steps.

# Combinatorics of $b_{n,j}$ : North-Marked Catalan Paths

## Theorem (Egge, Rubin)

$b_{n,j}$  is the number of Catalan paths with

- $j$  marked North steps, none touching  $y = x$ ,  
and
- $n - j$  unmarked North steps.



## Theorem (Egge)

$b_{n,j}$  is the number of 132-avoiding permutations with

- $n$  unbarred entries,
- $j$  barred entries,
- 1 is not barred,
- every barred entry is a local minimum.

## Theorem (Egge)

$b_{n,j}$  is the number of 132-avoiding permutations with

- $n$  unbarred entries,
- $j$  barred entries,
- 1 is not barred,
- every barred entry is a local minimum.

$\bar{2}314$

$\bar{2}341$

$3\bar{2}41$

$\bar{3}412$

$\bar{3}421$

$4\bar{2}31$

## Theorem (Egge)

$b_{n,j}$  is the number of 321-avoiding permutations with

- $n$  entries and
- $j$  inversions marked.

## Theorem (Egge)

$b_{n,j}$  is the number of 321-avoiding permutations with

- $n$  entries and
- $j$  inversions marked.

132

213

231

231

312

312

## Theorem (Egge)

$b_{n,j}$  is the number of

- triangulations of an  $n + j + 2$ -gon,
- with  $j$  shaded triangles with two edges on the boundary,
- in which the triangle adjacent to the bottom edge is not shaded
- and the rightmost boundary triangle is not shaded.

## Theorem (Egge)

$b_{n,j}$  is the number of

- triangulations of an  $n + j + 2$ -gon,
- with  $j$  shaded triangles with two edges on the boundary,
- in which the triangle adjacent to the bottom edge is not shaded
- and the rightmost boundary triangle is not shaded.





## Theorem (Egge)

$b_{n,j}$  is the number of

- *noncrossing partitions of  $[n + j]$*
- *in which  $j$  minima in blocks of size 2 or more are barred, but*
- *1 is not barred.*

## Theorem (Egge)

$b_{n,j}$  is the number of

- *noncrossing partitions of  $[n + j]$*
- *in which  $j$  minima in blocks of size 2 or more are barred, but*
- *1 is not barred.*

$1/\bar{2}34$

$12/\bar{3}4$

$14/\bar{2}3$

$1/\bar{2}3/4$

$1/\bar{2}4/3$

$1/2/\bar{3}4$

# Conjectured Combinatorics of $b_{n,j}$ : Dumont Permutations

## Definition

A *Dumont permutation (of the first kind)* is a permutation in which every even entry is followed by a descent, each odd entry is followed by an ascent, and the last entry is odd.

## Theorem (Burstein)

The number of Dumont permutations of length  $2n$  which avoid 2413 and 3142 is

$$\sum_{j=0}^{n-1} b_{n,j}.$$

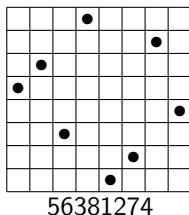
# Conjectured Combinatorics of $b_{n,j}$ : Rotationally Symmetric Permutations

## Conjecture (Egge)

*The number of rotationally symmetric permutations of length  $4n$  which avoid 2413 is*

$$\sum_{j=0}^{n-1} b_{n,j}.$$

# Conjectured Combinatorics of $b_{n,j}$ : Rotationally Symmetric Permutations



## Conjecture (Egge)

*The number of rotationally symmetric permutations of length  $4n$  which avoid 2413 is*

$$\sum_{j=0}^{n-1} b_{n,j}.$$

# A $k$ -ary Generalization

## Theorem (Egge)

*The Borel ideal generated by*

$$x_1 \ x_{1+k} \ x_{1+2k} \ \cdots \ x_{1+(n-1)k}$$

*has a minimal generating set of*

$$\frac{1}{(k-1)n+1} \binom{kn}{n}$$

*monomials.*

# A $k$ -ary Generalization

## Theorem (Egge)

The Borel ideal generated by

$$x_1 \ x_{1+k} \ x_{1+2k} \ \cdots \ x_{1+(n-1)k}$$

has a minimal generating set of

$$\frac{1}{(k-1)n+1} \binom{kn}{n}$$

monomials.

## Theorem (Egge)

The  $j$ th Betti number of

$$\langle x_1 \ x_{1+k} \ x_{1+2k} \ \cdots \ x_{1+(n-1)k} \rangle_B$$

is the number of  $k$ -ary trees with

- $n$  unmarked vertices and

# A $k$ -ary Generalization

## Theorem (Egge)

The Borel ideal generated by

$$x_1 x_{1+k} x_{1+2k} \cdots x_{1+(n-1)k}$$

has a minimal generating set of

$$\frac{1}{(k-1)n+1} \binom{kn}{n}$$

monomials.

## Theorem (Egge)

The  $j$ th Betti number of

$$\langle x_1 x_{1+k} x_{1+2k} \cdots x_{1+(n-1)k} \rangle_B$$

is the number of  $k$ -ary trees with

- $n$  unmarked vertices and
- $j$  marked leaves, such that



# A $k$ -ary Generalization

## Theorem (Egge)

The Borel ideal generated by

$$x_1 x_{1+k} x_{1+2k} \cdots x_{1+(n-1)k}$$

has a minimal generating set of

$$\frac{1}{(k-1)n+1} \binom{kn}{n}$$

monomials.

## Theorem (Egge)

The  $j$ th Betti number of

$$\langle x_1 x_{1+k} x_{1+2k} \cdots x_{1+(n-1)k} \rangle_B$$

is the number of  $k$ -ary trees with

- $n$  unmarked vertices and
- $j$  marked leaves, such that
- the rightmost leaf is unmarked.

Thank You!